	Substitute for Form 1449A/PTO (Modified)							Complete if Known				
							Application Number		10/041	,028		
STATEMENT BY APPLICANT						2178 X I	Filing Date		Decem	ber 2	28, 2001	•
S	IAI						First Named Inventor: David Harriman			man		
		(use as	many shee	ets as ne	ecestary		Group Art Unit	Group Art Unit 2177				
						50m	Examiner Name		Cheryl	Rene	ea Lewis	
Sheet	1		·	of	N.		Attorney Docket Nu	mber	42390.	P137	65	
,						TRADE PATER	IT DOCUMENTS					
Exam. Initial*	Cite No.1	U	.S. Patent I	Docume	nt		entee or Applicant d Document		Publication		Pages, Columns, I	
iiiiuai	140.	Num Code		Kind (If kno	wn)	oi Cite	a Document		d Document -DD-YYYY		Where Releva Passages or Rele Figures Appea	evant
								•	. [35	CEIVE) —
											JN 1-5-2004	
	-			-						7		
									Te	chnb	logy Center 2	100
	<u> </u>									_		
	L			<u> </u>								_
Fuere	0:45	-	raina Datant				ENT DOCUMENT		 1			
Exam. Initial*.	Cite No. ¹	Office ³				Name of Pate of Cited	d Document of Cited Document Lines, Where MM-DD-YYYY Passages or I			ages, Columns, s, Where Relevant sages or Relevant figures Appear	T°	
											устоот фрес.	
	· -				<u> </u>					_		
							· · · · · · · · · · · · · · · · · · ·					-
												-
,		_		отн	ER AR	T – NON PATE	NT LITERATURE (OCUMEN	ITS		·	 _
Exami Initial		Cite No ¹	include magazir	name o	of the aut	thor (in CAPITAL LE	TTERS), title of the artic g, etc.), date, page(s), v country where publishe	de (when appolente)	ropriate), ti	itle of the publish	he item (book, her, city and/or	T²
B	GREENWALD, M., et al., "Designing an Academic Firewall: Policy, Practice and Experience with SURF", Proceedings of the IEEE Symposium on Network and Distributed System Security (SNDSS '96), San Diego, CA, pp. 79-92 (February 22-23, 1996).						Experience system					
S			HyperTransport Technology Consortium, "HyperTransport I/O Link Specification", Revision 1.03, Chapter 10, pp. 111-118 (October 10, 2001).									
Q			IBM Co IBM Te	IBM Corporation, "Deadlock-Free Message Passing Protocol in CSP-Based Languages", IBM Technical Disclosure Bulletin, Vol. 37, No. 7, pp. 192-195 (December 1989).								
B	MITRA, N., "Efficient Encoding Rules for ASN/1-Based Protocols", AT&T Technical Journal, Vol. 73, No. 3, New York, US, pp. 80-93 (May/June 1994).											

		-		9	_						
Examiner (\	1	() (//		Da	te Co	nsidered	
	'	\ /	~ · /	. /	' >	₽ ₹			10.00		
Signature	<u> </u>	X	عياب	V	(1-	West of	1 😤	1iæk	るのでし	
				77		J -	7		-		

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹Unique citation designation number. ²See attached Kinds of U.S. Patent Documents. ³Enter Office that issued the document, by the two-letter code (WIPO Standard S.3). ⁴For Japanese patent documents, the indication of the year of reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶Applicant is to place a check mark here if English language Translation is attached.

Substitute	for Form 1	449/PTO)		Com	plete if Known				
INFO	RMA ²	TION	DISC	LOSURE	Application Number	10/041,028				
					Filing Date	December 28	, 2001			
SIA				PLICANT	First Named Inventor:	David Harrin	nan			
	(use as	many snee	ets as neces	sary)	Art Unit	2177				
		_			Examiner Name	Lewis, Chery	l Renea			
Sheet	2		of	2	Attorney Docket Number	042390.P137	65			
				NON PATENT LI	TERATURE DOCUMENTS					
Examiner Initials*	Cite No ¹	Includ ite	le name of em (book, n	nagazine, journal, se	TAL LETTERS), title of the articlerial, symposium, catalog, etc.), olisher, city and/or country where	date, page(s), vol	ite), title of the ume-issue	T²		
R					national Preliminary Examina T/US02/39269, 4 pages.	tion Report, 9/01	/2004,			
				# * ,		·				
					-					
			. <i>F</i>							
					·					
	_ ^						Thirting i			
Examiner (1/7	V	W.	•		Date	۵			

*Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Considered

¹Applicant's unique citation designation number (optional). ²Applicant is to place a check mark here if English Translation is attached.

This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SENT FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-8199 (1-800-788-9199) and select option 2.

ELECTRONIC INFORMATION DISCLOSURE STATEMENT

Electronic Version v18 Stylesheet Version v18.0

Title of Invention

Communicating transaction types between agents in a computer system using packet headers including an extended type/extended length field

Application Number:

10/041028

10/041028

Confirmation Number: First Named Applicant:

2623

David Harriman

RECEIVED

Attorney Docket Number: 42P13765

JUN 1 5 2004

Art Unit:

2177

Examiner:

Cheryl Renea Lewis

Technology Center 2100

Search string:

(4621364 or 5033047 or 5390298 or 5414717 or 5699519 or 5867652 or 5909427 or 5968197 or 6084869 or 6359877 or 6389016 or 6424625 or 6515967 or 6519731 or 20030031208

or 20030039209).pn.

US Patent Documents

Note: Applicant is not required to submit a paper copy of cited US Patent Documents

init	Cite.No.	Patent No.	Date	Patentee	Kind Class Subclass
	1	4621364	1986-11-04	Tschoepe	नाप) इम
	2	5033047	1991-07-16	Uehara	नामी प
	3	5390298	1995-02-14	Kuszmaul et al.	413/32
	4	5414717	1995-05-09	Matsumoto et al.	414/448
	5	5699519	1997-12-16	Shiobara	709/235
	6	5867652	1999-02-02	Hurvig	404/208
	7	5909427	1999-06-01	Manning et al.	370/219
	8	5968197	1999-10-19	Doiron	714/ 748 370/ 348
	9	6084869	2000-07-04	Fishman et al.	370/348
	10	6359877	2002-03-19	Rathonyl et al.	376/349
	11	6389016	2002-05-14	Sabaa et al.	340/ 389
	12	6424625	2002-07-23	Larsson et al.	1 370/236
	13	6515967	2003-02-04	Wei et al.	340/244
M	14	6519731	2003-02-11	Huang et al.	~MIH 751

US Published Applications

Note: Applicant is not required to submit a paper copy of cited US Published Applications

1	init	Cite.No.	Pub. No.	Date	Applicant	Kind	Class	Subclass
		1	20030031208	2003-02-13	Anehem et al.			
М	W W	2	20030039209	2003-02-27	Webber	Ì		

Signature

Examiner Name	Date
Chary Houris	March 30, aux

RECEIVED

JUN 1 5 2004

Technology Center 2100

A / ·							
Substitute	for Form 1449	/PTO		Complete if Known			
	INFOR	ΔΜ	TION DISCLOSUR	FOIFE	Application Number	10/041,028	
			/	£5/	Filing Date	December 28, 2001	
			ENT BY APPLICAN	4	First Named Inventor:	David Harriman	
		(use as	s many sheets as necessary)	NOV 1 2 2004	Art Unit	2177	
			/3		Examiner Name	Lewis, Cheryl Renea	
Sheet	1		of	20 TRADEMA	Attorney Docket Number	042390.P13765	
			U.S. PATEN	T DOCUMENTS	3		
Examiner Initials*	Cite No.1	Numi	Document Number ber-Kind Code ² (If known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	
		US-	5,293,379	3/8/1994	3.770 474Carr		
-		US-			1		
		US-					
		US-					
		US-				<u> </u>	
		US-					
•		US-				 	
		US-					
		US-				 	
		US-					
		US-					
		US-				<u> </u>	
		US-					
		US-					

	FOREIGN PATENT DOCUMENTS											
Examiner Initials*	Cite No. ¹	Foreign Patent Document Country Code ³ Number ⁴ Kind Code ⁵ (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	Т°						

Examiner Date Considered			
Signature New Tells			
	Signature	More Herry	12(80)(800)(32-1

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant's unique citation designation number (optional). ²See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴For Japanese patent documents, the indication of the year of reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶Applicant is to place a check mark here if English language translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SENT FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.